BELL POTTER

PRIVATE WEALTH SERVICE
SAMPLE APPLICATION

TRAINING PACK · SAMPLE 3 OF 5 · TRUST

OWNERSHIP STRUCTURE · TRUST

Marchetti Family Trust

A discretionary family trust with two individual trustees, transferring managed funds in-specie. Family-grouped for billing with the Marchetti Superannuation Fund (sample 5).

WHAT THIS SAMPLE DEMONSTRATES

- Ownership structure = Trust; both individual trustees sign the LPOA and Declaration using full CHESS-registered names.
- The trust's TFN is entered on the entity line in Section 4 (an ABN is only mandatory for a company).
- Family grouping for billing must be applied consistently across every account in the group and is signed off by Dean Surkitt (cc Nick Hamilton) — approval attached.
- Managed funds transferring in require current statements showing the Investor Number (Appendix A).

Worked example completed by Sarah Mitchell (SM042) · sample signing date 15/07/2026.

All names, account numbers, TFNs, ABNs and addresses are fictional and for training purposes only. Complete the live form in blue or black ink.

PRIVATE WEALTH SERVICE COVERSHEET

BELL POTTER

ACCOUNT INFORMATION

Account Number
30 620 155

Account Name
Marchetti Pty Ltd

Account Designation (if applicable)
Marchetti Family Trust

- [x] PWS
- [ ] PWSBRO (Brokerage Free Rate)
- [ ] Discretionary Account (attach MDA Agreement)
- [ ] Non-resident for tax purposes
- [ ] Margin Lending

HIN
X0003456712

CASH TRADING ACCOUNT DETAILS

- [x] Macquarie Cash Management Account
- [ ] Macquarie Accelerator Account
- [ ] Bell Potter Cash Trust Account

Complete prior to Application Form submission

- [x] Confirm bank account(s) are linked on Fusion
- [x] Verify bank account is not linked to another Fusion account

DEBIT/CREDIT INSTRUCTIONS FOR FEES & INCOME

- [x] Cash Trading Account (as above)
- [ ] Margin Lending Account

TRADE MATCH TYPE

- [x] FIFO
- [ ] MIN GAIN
- [ ] MAX GAIN
- [ ] Manual

FEES

- [x] Family Grouped for Billing

Linked Accounts
30 733 902 (Marchetti Superannuation Fund)

- [ ] Fee Exceptions
- [x] Fee Approval Attached
- [x] OFA letter signed and approved by Financial Planning team

Please note: Fee exceptions must match across all accounts in a family group.

Special Fee Instructions
Family grouped with Marchetti Superannuation Fund (30 733 902). Combined tiering across the group. Approved by Dean Surkitt 10/07/2026 (cc Nick Hamilton).

Comments
Standard Rate, family grouped. Standalone estimate approx. $14,550 p.a.; grouped tiering lowers the blended rate. Minimum fee per quarter applies to each account.

INVESTMENT & COST BASE HISTORY

- [ ] Cash Only
- [x] All securities acquired via Bell Potter

Estimated Portfolio Value
$2,100,000

Assets Transferring in

- [ ] Assets from Deceased Estate
- [ ] Issuer Sponsored Holdings
- [x] Managed Funds
- [ ] Non-standard Assets
- [ ] Self-Managed Super Fund
- [ ] Listed ASX – HIN from another CHESS Participant

ADVISER NOTES / SPECIAL INSTRUCTIONS

Managed funds transferring in-specie; current holding statements with Investor Numbers supplied. Account family-grouped with Marchetti Superannuation Fund.

Completed by Adviser/DA
Sarah Mitchell (SM042)

Date
15/07/2026

TEAM LEADER TO COMPLETE

- [x] Application checked for completeness
- [x] LPOA signed
- [x] Ongoing Fees (OFA)
- [x] Fees checked and custom fee approval reviewed
- [x] Account added to New Account Register
- [x] Fees Approved by Senior Leadership
- [x] Fee Allocation in Praemium

Signed off by
Anthony Rossi

Date
15/07/2026

TRAINER NOTES

- **Same details, three times.** The account number and name on this Coversheet must match the Application Form (Section 1) and the LPOA (Section 8) exactly.
- **Trade Match Type** is set to **FIFO** in line with the PWS product rules.
- **Retail client:** the OFA letter must be signed and approved by Financial Planning *before* submission (ASIC requires the trading account number on the letter, so the account is set up first).
- **Family grouped for billing.** Fee treatment must be identical across every account in the group; grouping is approved by **Dean Surkitt (cc Nick Hamilton)** and the approval is attached.
- Cash account: **Macquarie Cash Management Account**. Confirmed linked on FUSION and not shared with another FUSION account — Praemium requires one dedicated bank account per client.

BELL POTTER

APPENDIX A — ACCOUNT ESTABLISHMENT & RECONSTRUCTION: COST BASE INFORMATION

PWS provides full financial-year CGT reporting. The documentation below assists the reconstruction of a client's portfolio and can be sourced from a previous administrator, broker, accountant, share registry or client records.

TRANSFER TYPE	REQUIRED DOCUMENTATION
Account previously on a portfolio administration platform / accountant	Portfolio Valuation (30 June & date prior to transfer); Transaction History (from 30 June to date); Realised & Unrealised CGT (from 1 July to date).
Listed Holdings — HIN from another Broker / Margin Lender	Portfolio Valuation; Transaction History; Cost History of purchases and sales incl. corporate actions; consideration and date of purchase.
Off Market Transfers — No change of Beneficial Owner	Portfolio Valuation; Transaction History; Cost History incl. corporate actions.
Off Market Transfer — Change of Beneficial Owner	Consideration and date of purchase; supporting Cost History.
Issuer Sponsored Holdings	Holding Statement (registration details & SRN); Transaction Statement; Cost History incl. corporate actions.
Beneficiary of a Deceased Estate	Original Cost History of the Estate; Date of Death of the individual; holding & transaction statements.
Individual to Joint Account	Individual's original Cost History (carried forward).
From a Wrap Service	Assets reported from transfer-in date; refer to the individual asset requirements above.
Managed Funds	Current Statement clearly showing the Investor Number.
Non-standard Assets	Holding Statement (registration details & Investor Number); Transaction Statement; Cost History.
Super Funds	Unrealised CGT report as at 30 June and subsequent transactions from 1 July.

TRAINER NOTES — COST BASE FOR THIS SAMPLE

- For this trust account, the highlighted rows apply. Gather these **before** initiating any in-specie transfer — the ASM recreates FY26 portfolio history from them.
- Cost base must be the **original acquisition date and price** for each parcel. An average or total cost is insufficient. Where cost history cannot be supplied, an acquisition date of "unknown" and zero cost apply, and no CGT calculation is provided for that parcel.

BELL POTTER

PRIVATE WEALTH SERVICE
APPLICATION FORM

Application Form DV260625
June 2026

SAMPLE — TRUST

- Marchetti Family Trust

PRIVATE WEALTH SERVICE APPLICATION FORM

BELL POTTER

SECTION 1 · ACCOUNT DETAILS

Account Number	Account Name
30 620 155	**Marchetti Pty Ltd**

Account Designation (if applicable)
Marchetti Family Trust

Trustee 1 — Title, Given Name(s) and Surname
Mr Antonio Giuseppe Marchetti

Trustee 2 — Title, Given Name(s) and Surname
Mrs Lucia Maria Marchetti

Applicant 3 / Trustee 3

Applicant 4 / Trustee 4

SECTION 2 · POSTAL ADDRESS

Postal Address
22 Riverview Terrace, Hunters Hill

State	Postcode	Country
NSW	**2110**	**Australia**

SECTION 3 · OWNERSHIP STRUCTURE

☐ Individual ☐ Joint ☑ Trust ☐ Company ☐ Superannuation Fund ☐ Other

SECTION 4 · TAX FILE NUMBER / ABN

Applicant 1	Applicant 2	Applicant 3	Applicant 4

Company, Super Fund or Trust — TFN
TFN 678 901 234

SECTION 5 · COUNTRY OF TAX RESIDENCE

☑ Yes — resident for Australian tax purposes ☐ No

TRAINER NOTES

- **Trust signing:** the individual trustees sign using their full CHESS-registered names.
- **Section 2:** enter the actual *postal* address, not the registered address.
- **Section 4:** keep the TFN consistent with the third-party platform set-up and the FUSION Tax Information tab.

BELL POTTER

SECTION 6 · MARGIN LENDING ACCOUNT

☐ Yes ☑ No

Not a margin lending account — proceed to Section 7.

SECTION 7 · CASH TRADING ACCOUNT / INVESTMENT AMOUNT / COST HISTORY

Cash Trading Account

☐ Yes — establish a Cash Trading Account for the Private Wealth Service
☑ No — approved cash account already held (details below)

Estimated Portfolio Value
$2,100,000

Assets to be provided to the account via:

☐ Cash Deposit ☑ Securities acquired via Bell Potter ☑ Securities not purchased through Bell Potter, transferred in from multiple sources

Portfolio Assets transferring in (tick if applicable)

☐ Listed ASX – HIN from another CHESS Participant ☐ Assets from Deceased Estate ☐ Self Managed Super Fund ☑ Managed Funds
☐ Issuer Sponsored Holdings ☐ Non-standard Assets

TRAINER NOTES

- **External margin lending clients are not accepted.** A margin lending account (if any) is set up first, with the account number sourced from Bell Potter Capital (BPC).
- **Cash trading account:** this is the bank account nominated for debit/credit authority. It cannot be shared with other FUSION accounts and **cannot be a joint account** — Praemium requires one dedicated bank account per client.
- Holdings transferring in trigger the **Appendix A** cost-base documents — gather them up front so the ASM can recreate FY26 history.

PRIVATE WEALTH SERVICE APPLICATION FORM

BELL POTTER

SECTION 8 · LIMITED POWER OF ATTORNEY (LPOA)

Account Number **30 620 155**	Account Name **Marchetti Pty Ltd**

Account Designation (if applicable)
Marchetti Family Trust

The Client grants a limited power of attorney to Bell Potter Securities Limited (ABN 25 006 390 772) and Praemium Limited (ABN 74 098 405 826), and any authorised officer, to undertake all actions necessary to provide the Portfolio Service — including dealing in securities, IDPS, managed funds, ETOs/ETFs, cash and fixed interest, operating the account, and giving instructions to third parties. The LPOA continues until the Portfolio Service is terminated.

Trustee 1 — Name **Mr Antonio Giuseppe Marchetti**	Trustee 2 — Name **Mrs Lucia Maria Marchetti**
Signature *A. Marchetti*	Signature *L. Marchetti*
Date Signed (dd/mm/yyyy) **15/07/2026**	Date Signed (dd/mm/yyyy) **15/07/2026**

Applicant 3 / Trustee 3 — Name	Applicant 4 / Trustee 4 — Name
Signature	Signature
Date Signed (dd/mm/yyyy)	Date Signed (dd/mm/yyyy)

Please note: (1) for a company, two Directors or a Director and a Secretary must sign — indicate if a sole Director company; (2) sign in blue or black ink only.

TRAINER NOTES

- **Full CHESS-registered names only. Correct: "Cheryl Anne Nott". Incorrect: "Ms C A Nott". The registered name is shown in the Equities tab under** Registration Address.
- The LPOA must be **originally signed** (wet ink, blue or black), and the names must match Section 1 and the Section 10 Declaration.

PRIVATE WEALTH SERVICE APPLICATION FORM

BELL POTTER

SECTION 9 · PORTFOLIO SERVICE FEE STRUCTURE

All fees are inclusive of GST. A minimum fee rate applies per quarter as specified.

☑ **Standard Rate**

For the first $1 million	**0.85%**
For the next $2 million	**0.55%**
For the next $4 million	**0.44%**
Minimum per quarter	**$1,000**

☐ **Brokerage Free Rate**

For the first $1 million	**1.25%**
For the next $2 million	**0.80%**
For the next $4 million	**0.55%**
Minimum per quarter	**$1,500**

OR

☐ **Custom Fee Rate**

☐ **Custom Brokerage Free Rate**

Is this a family grouped account? ☑ Yes ☐ No

If yes, list account numbers to be linked

30 733 902 (Marchetti Superannuation Fund)

Special Fee Instructions

Combined group tiering across Marchetti Family Trust and Marchetti Superannuation Fund. Fee approval attached (Dean Surkitt, cc Nick Hamilton, 10/07/2026).

TRAINER NOTES

- **Custom fee approval is mandatory.** The application is rejected without it — PWS Adviser Services will not source approval for you. All custom fees, fee exceptions and family groupings are signed off by **Dean Surkitt (cc Nick Hamilton)**.
- Every account carries a standard **minimum fee per quarter**; it cannot be waived (the only exception is a grandfathered family grouping, which needs approval).
- **Standard Rate** chosen: 0.85% / 0.55% / 0.44% across the tiers, minimum $1,000 per quarter.
- **Family grouped:** fee exceptions must be identical across every linked account, and grouped rates are confirmed before submission (incorrect grouped rates are rejected).
- No mark-ups are accepted unless the client has signed or initialled the change.

BELL POTTER

SECTION 10 · DECLARATION

By signing below you:

- acknowledge that you have read and understood the Bell Potter Private Wealth Service Client Guide;
- agree to pay the fees set out above;
- grant Bell Potter and Praemium the LPOA; and
- agree to be bound by the Part 6 — Portfolio Service Terms and Conditions.

Trustee 1 — Name **Mr Antonio Giuseppe Marchetti**	Trustee 2 — Name **Mrs Lucia Maria Marchetti**
Signature *A. Marchetti*	Signature *L. Marchetti*
Date Signed (dd/mm/yyyy) **15/07/2026**	Date Signed (dd/mm/yyyy) **15/07/2026**
Applicant 3 / Trustee 3 — Name	Applicant 4 / Trustee 4 — Name
Signature	Signature
Date Signed (dd/mm/yyyy)	Date Signed (dd/mm/yyyy)

Please note: (1) for a company, two Directors or a Director and a Secretary must sign; (2) sign in blue or black ink only.

OFFICE USE ONLY — ADVISER TO COMPLETE

Signature *S. Mitchell*	Date Received (dd/mm/yyyy) **15/07/2026**
Adviser Name and Code **Sarah Mitchell (SM042)**	Brokerage (inc. GST) and Minimum Brokerage (inc. GST) **Per Financial Services Guide**

TRAINER NOTES

- The signing requirements and applicant names **must match Section 8** exactly.
- The **"Office Use Only"** block must be completed and signed by the adviser — it cannot be left blank.
- Record brokerage (inc. GST) and minimum brokerage per the Financial Services Guide.