

PRIVATE WEALTH SERVICE APPLICATION FORM

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This application form is based on the Bell Potter Private Wealth Service Client Guide issued by Bell Potter Securities Limited.

SECTION 1: ACCOUNT DETAILS

Account Number	Account Name
Account Designation (if applicable)	

Applicant 1 / Director 1 / Trustee 1

Title, Given Name(s) and Surname

Applicant 2 / Director 2 / Secretary / Trustee 2

Title, Given Name(s) and Surname

Applicant 3 / Trustee 3

Title, Given Name(s) and Surname

Applicant 4 / Trustee 4

Title, Given Name(s) and Surname

SECTION 2: POSTAL ADDRESS

(correspondence from Bell Potter will be sent to this address)

Postal Address		
State	Postcode	Country

SECTION 3: OWNERSHIP STRUCTURE

☐ Individual	☐ Joint	☐ Trust
☐ Company	☐ Superannuation Fund	☐ Other (please specify)

SECTION 4: TAX FILE NUMBER/AUSTRALIAN BUSINESS NUMBER

Please supply TFN/ABN applicable to the ownership structure in section 3.

Applicant 1	Applicant 2	Applicant 3	Applicant 4
Company, Super Fund or Trust			

Quotation of your Tax File Number(s) is optional. If we are unable to provide your Tax File Number or exemption to registries they may be obliged to deduct tax at the highest marginal rate from any investment income. If the account is for a Company, Superannuation Fund or Trust, please provide the TFN for that entity.

SECTION 5: COUNTRY OF TAX RESIDENCE

Are you a resident for Australian taxation purposes?	☐ Yes	☐ No
If no, please provide your full address below		
Address		
	Postcode (if applicable)	Country

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SECTION 6: MARGIN LENDING ACCOUNT

Is this a Margin lending account? If yes complete below, if no, move to Section 7.

☐ Yes

☐ No

Margin Lending Provider Name

Margin Lending Facility Account Number

Margin Lending Client Code

I authorise Bell Potter Securities Limited to:

Debit the portfolio service fee from (please tick)

☐ Margin loan facility

or

☐ Cash account/Direct debit

Redirect dividends and distributions to my margin loan facility

☐ Yes

☐ No

SECTION 7: CASH TRADING ACCOUNT/INVESTMENT AMOUNT/COST HISTORY

Cash Trading Account

☐ Yes, I require a Cash Trading Account to be established for my Private Wealth service.

☐ No, I have an approved cash account already. Please provide existing account details below.

Account Name

BSB

Account Number

Estimated Portfolio Value

Assets to be provided to the account via:

☐ Cash Deposit

and/or

☐ Securities in the account acquired via Bell Potter

and/or

☐ Securities in the portfolio not purchased through Bell Potter and transferred in from multiple sources.
Complete Portfolio Assets transferring in below (*)

(*) Portfolio Assets transferring in – tick if applicable

☐ Listed ASX – HIN from another CHESS Participant	☐ Assets from Deceased Estate
☐ Self Managed Super Fund	☐ Managed Funds
☐ Issuer Sponsored Holdings	☐ Non-standard Assets

Please note:

If investments are transferred, all historical information detailing the acquisition dates, number of units, transaction type, and cost base of each individual investment must be provided. Without this information we cannot provide accurate capital gains tax positions. This information may be sourced from a previous administrator, accountant, broker statements or your personal records.

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SECTION 8: LIMITED POWER OF ATTORNEY

Account Number	Account Name
Account Designation (if applicable)	
Applicant 1 / Director 1 / Trustee 1	Applicant 2 / Director 2 / Secretary / Trustee 2
Applicant 3 / Trustee 3	Applicant 4 / Trustee 4

In order for the Bell Potter Portfolio Service to be provided to the account stated above, the client named above (Client) hereby grants a limited power of attorney (LPOA) to Bell Potter Securities Limited ABN 25 006 390 772 (Bell Potter) and Praemium Limited ABN 74 098 405 826 (Praemium) and any authorised officer of Bell Potter, Praemium or their wholly owned subsidiaries (jointly and/or severally) (each an Attorney) to undertake and perform all actions and functions that are necessary, usual or desirable on the Client's behalf to provide the Portfolio Service, including without limitation to:

- apply for, complete and execute an application form to open a cash management account or investment account with any bank, financial institution or financial service provider;
- subscribe for, apply for, buy, sell, transfer, redeem or otherwise deal with listed Australian and international securities, an investor directed portfolio service (IDPS), managed funds, exchange traded options (ETOs), exchange traded funds (ETFs), cash, fixed interest and other financial products and complete and execute forms required for this purpose;
- make deposits to and withdrawals from your Account to facilitate the actions in paragraph (b) above;
- provide instructions to third parties, close accounts, update contact details, change your registration address for correspondence, receive notices;
- do all things necessary or expedient to give effect to the actions referred to in paragraphs (a) – (d) above.

The Client agrees to ratify the acts of the Attorney and acknowledges that anything done by an Attorney under this LPOA will be binding on the Client as if those acts had been done by the Client. The Client agrees to indemnify an Attorney against any liability arising from the proper use of this LPOA. This LPOA will continue until the Portfolio Service is terminated.

Applicant 1 / Director 1 / Trustee 1

Name
Signature
Company Name (if applicable)
☐ Tick if Sole Director
Date Signed (dd/mm/yyyy)

Applicant 2 / Director 2 / Secretary / Trustee 2

Name
Signature
Date Signed (dd/mm/yyyy)

Applicant 3 / Trustee 3

Name
Signature
Date Signed (dd/mm/yyyy)

Applicant 4 / Trustee 4

Name
Signature
Date Signed (dd/mm/yyyy)

Please note:

- 1) For a company, two Directors or a Director and a Secretary must sign. Please indicate if the company is a sole Director company;
- 2) Sign in blue or black ink only.



SECTION 9: PORTFOLIO SERVICE FEE STRUCTURE

All fees are inclusive of GST. A minimum fee rate applies per quarter as specified.

Standard Rate

For the first \$1 million

0.85%

For the next \$2 million

0.55%

For the next \$4 million

0.44%

Minimum per quarter

\$1,000

Brokerage Free Rate

For the first \$1 million

1.25%

For the next \$2 million

0.80%

For the next \$4 million

0.55%

Minimum per quarter

\$1,500

OR

Custom Fee Rate

Minimum per quarter

Custom Brokerage Free Rate

Minimum per quarter

Is this a family grouped account?

Yes

No

If yes, please list account numbers to be linked

Special Fee Instructions

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SECTION 10: DECLARATION

- By signing below you:
- a. grant Bell Potter and Praemium the LPOA;
 - b. agree to pay the fees set out above;
 - c. acknowledge that you have read and understood the Bell Potter Private Wealth Service Client Guide; and
 - d. agree to be bound by the Part 6 – Portfolio Service Terms and Conditions.

Applicant 1 / Director 1 / Trustee 1	Applicant 2 / Director 2 / Secretary / Trustee 2
Name	Name
Signature	Signature
Company Name (if applicable)	Date Signed (dd/mm/yyyy)
☐ Tick if Sole Director	
Date Signed (dd/mm/yyyy)	

Applicant 3 / Trustee 3	Applicant 4 / Trustee 4
Name	Name
Signature	Signature
Date Signed (dd/mm/yyyy)	Date Signed (dd/mm/yyyy)

- Please note:**
- 1) For a company, two Directors or a Director and a Secretary must sign. Please indicate if the company is a sole Director company;
 - 2) Sign in blue or black ink only.

OFFICE USE ONLY: ADVISER TO COMPLETE

Signature	Date Received (dd/mm/yyyy)
Adviser Name and Code	Brokerage (inc. GST) and Minimum Brokerage (inc. GST)