

VERIFICATION OF IDENTITY AUSTRALIAN COMPANIES

BELL POTTER

STEP 1 – DIRECTORS TO COMPLETE

COMPANY DETAILS

Full Name (as registered with ASIC)	ACN
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FULL ADDRESS OF COMPANY'S REGISTERED OFFICE IN AUSTRALIA (PO Box is not acceptable)

Number and Street

Suburb	State	Postcode	Country
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FULL ADDRESS OF COMPANY'S PRINCIPAL PLACE OF BUSINESS IN AUSTRALIA (PO Box is not acceptable)

Number and Street

Suburb	State	Postcode	Country
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REGISTERED WITH ASIC: as a Public Company OR as a Proprietary Company

If a Proprietary Company, list full names of each Director

DIRECTOR 1 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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<input type="text"/>	<input type="text"/>
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DIRECTOR 2 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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<input type="text"/>	<input type="text"/>
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DIRECTOR 3 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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DIRECTOR 4 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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IS THE COMPANY REGULATED? Yes No

In this context "Regulated" means subject to supervision beyond that provided by ASIC as a company registration body. Examples of regulated companies include Australian Financial Services Licensees (AFSL), Australian Credit Licensees (ACL) or Registrable Superannuation Entity (RSE) Licensees).

Name of Regulator	Licence Number
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BENEFICIAL OWNERS

Persons who own or control 25% or more of the issued capital of the company or can directly or indirectly control the operating and financial affairs of the company (not required for public or regulated companies).

PERSON 1 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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<input type="text"/>	<input type="text"/>
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PERSON 2 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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PERSON 3 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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PERSON 4 - Full Name and Residential Address (PO Box is not acceptable)	Date of birth (dd/mm/yyyy)
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VERIFICATION OF IDENTITY AUSTRALIAN COMPANIES

BELL POTTER

IS THE COMPANY A MAJORITY-OWNED SUBSIDIARY OF AN AUSTRALIAN LISTED COMPANY?

Yes No

If yes, name of Exchange on which it is listed

Name

IS THE COMPANY ITSELF A LISTED COMPANY?

Yes No

If yes, name of Exchange/Market on which it is listed

Name

PRINCIPLE BUSINESS ACTIVITY

SOURCE OF FUNDS BEING INVESTED (Tick most relevant option)

Retirement income Business activities Inheritance/gift Other, please list below

Employment income Sale of assets Financial investments

I/WE CERTIFY THAT THE ABOVE DETAILS ARE TRUE AND CORRECT

Signature of Director/Sole Director

Date (dd/mm/yyyy)

Signature of Director/Secretary

Date (dd/mm/yyyy)

STEP 2 – ESTABLISH YOUR IDENTITY VERIFICATION DOCUMENT AND PHOTOCOPY

IDENTITY VERIFICATION DOCUMENTS ATTACHED AS ANNEXURE "A"

A certified copy of the Certificate of Registration issued by ASIC of Company Trustee or, if not readily available, a search of the ASIC Database costing approximately \$16.00 for Client's Account

PLUS

A certified copy of a current Driver's Licence or Passport (Australian or foreign), containing signature and photograph of the signing Director/Secretary and each Beneficial Owner

STEP 3 – HAVE AUTHORISED PERSON CERTIFY YOUR IDENTITY VERIFICATION DOCUMENT

DETAILS AND SIGNATURE OF CERTIFIER (Authorised Certifiers cannot certify their own identity documents)

Title/Full Name of Certifier

Signature of Certifier

RESIDENTIAL ADDRESS (PO Box is not acceptable)

Number and Street

Suburb

State

Postcode

Country

Capacity to Certify

Daytime Contact Number (not mobile number)

VERIFICATION OF IDENTITY AUSTRALIAN COMPANIES



PERSONS WHO CAN CERTIFY that a photocopy or scanned copy is a true copy of the original document:

LEGAL

- A solicitor or barrister (that is, a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described));
- A Judge of a Court;
- A Magistrate;
- A Chief Executive Officer of a Commonwealth Court;
- A Registrar or Deputy Registrar of a Court;
- A Notary Public (for the purposes of the Statutory Declaration Regulations 1993);

JP

A Justice of the Peace;

POLICE

A Police Officer;

ACCOUNTANT

A member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants of Australia or the National Institute of Accountants with two (2) or more years of continuous membership;

POST OFFICE

- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- A permanent employee of the Australian Postal Corporation with two (2) or more years of continuous service who is employed in an office supplying postal services to the public;

DIPLOMATIC SERVICE

- An Australian Consular Officer (Consul-General; Consul; Vice-Consul; Trade Representative or Consular Agent);
- An Australian Diplomatic Officer (Ambassador; High Commissioner; Minister; Head of a Mission; Commissioner; Charge d'Affaires; or Counsellor; Secretary or Attaché at an Embassy, High Commissioner's office, Legation or other post) within the meaning of the Consular Fees Act 1955;

FINANCIAL CORPORATIONS (BANK, BUILDING SOCIETY, CREDIT UNION AND AFSL HOLDERS)

- An Officer with two (2) or more continuous years of service with one or more Financial Institutions (for the purposes of the Statutory Declaration Regulations 1993);
- A Finance Company Officer with two (2) or more continuous years of service with one or more Finance Companies (for the purposes of the Statutory Declaration Regulations 1993);
- An Officer with, or Authorised Representative of; or a holder of an Australian Financial Services License, having two (2) or more continuous years service with one or more Licensees.